

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

HARRINGTON GLOBAL OPPORTUNITY  
FUND, LIMITED,

Plaintiff,

-against-

CIBC WORLD MARKETS COPR., CIBC  
WORLD MARKETS INC., BANK OF  
AMERICA SECURITIES, INC., MERRILL  
LYNCH CANADA INC., MERRILL LYNCH  
PROFESSIONAL CLEARING CORP., TD  
SECURITIES, INC., TD SECURITIES(USA)  
LLC, CORMARK SECURITIES, INC., UBS  
FINANCIAL SERVICES, INC., UBS  
SECURITIES CANADA, INC., SOCIETE  
GENERALE CAPITALE CANADA, INC.,  
SG AMERICAS SECURITIES, LLC, AND  
JOHN DOES 1 THROUGH 10,

Defendants.

Case No. 1:21-cv-00761 (LGS)

**NOTICE OF  
APPEARANCE**

To the Clerk of this Court and all parties of record:

PLEASE TAKE NOTICE that LAURA ZIMMERMAN, an attorney admitted to practice in this Court, hereby appears as counsel for UBS Securities Canada, Inc. and UBS Financial Services, Inc. in the above-captioned action and requests that copies of all papers relating to this action be served upon the undersigned.

Dated: March 23, 2021

BAKER & MCKENZIE LLP

By: /s/Laura Zimmerman  
Laura Zimmerman (Bar No. 4995494)  
452 Fifth Avenue  
New York, NY 10018  
laura.zimmerman@bakermckenzie.com  
T: 212-626-4342  
F: 212-310-1855

*Attorneys for UBS Securities Canada, Inc.  
and UBS Financial Services, Inc.*